

PART B - FEE(S) TRANSMITTAL



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005179

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05/04/2006

PEACOCK MYERS, P.C.

201 THIRD STREET, N.W.

SUITE 1340

ALBUQUERQUE, NM 87102

08/08/2006 SFELEKE2 00000035 10661319

01 FC:2501 700.00 DP
 02 FC:1504 300.00 DP
 03 FC:0001 30.00 DP

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/661,319	09/12/2003	Robert L. Armstrong	37000 CIP2 9906	9312

TITLE OF INVENTION: OPTICAL DEVICES AND METHODS EMPLOYING NANOPARTICLES, MICROCAVITIES, AND SEMICONTINUOUS METAL FILMS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$1000	08/04/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
GEISEL, KARA E	2877	356-301000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

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2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Jeffrey D. Myers
 2 Deborah A. Peacock
 3 Philip D. Askenazy

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Arrowhead Center, Inc.

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Las Cruces, New Mexico

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

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☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Date August 2, 2006

Typed or printed name Deborah A. Peacock

Registration No. 31,649

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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